

Fees & Investment Minimums

Strategies				Guided Portfolios					Custom Individually Managed Accounts ⁸			
	GuideMark ^{1,6}	Proprietary ETF, MF ⁵	Third-Party ETF, Institutional MF ²	Guided Income Solutions	GPS Fund Strategies	Clark FITR	GPS Select	Custom GPS Select	Parametric Custom Port. ³	CIBC Custom Portfolios ³	Custom ⁸	City National Rochdale
<\$250K	0.25%	0.45%	0.50%	0%	0.25%	0.55%	0.65%	0.65%	0.65%	1.00%	1.05%	1.10%
\$250K-\$500K	0.15%	0.40%	0.35%	0%	0.15%	0.55%	0.65%	0.65%	0.65%	1.00%	1.05%	1.10%
\$500K-\$1M	0.10%	0.35%	0.30%	0%	0.10%	0.50%	0.60%	0.60%	0.65%	1.00%	0.99%	1.04%
\$1M-\$2M	0.10%	0.30%	0.28%	0%	0.10%	0.45%	0.55%	0.55%	0.60%	0.95%	0.94%	0.99%
\$2M-\$3M	0.10%	0.20%	0.25%	0%	0.10%	0.35%	0.45%	0.45%	0.60%	0.95%	0.90%	0.99%
\$3M-\$5M	0.10%	0.20%	0.20%	0%	0.10%	0.30%	0.40%	0.40%	0.60%	0.90%	0.85%	0.95%
\$5M+	0.10%	0.20%	0.10%	0%	0.10%	0.25%	0.35%	0.35%	0.50%	0.80%	0.75%	0.90%
Minimum	\$10,000	\$25,000	\$25,000	\$50,000	\$10,000	\$250,000	\$50K-\$100K	\$250,000	\$250K-\$750K	\$1M	\$500K-\$1M	\$1M
Supplemental Fee		Proprietary ETF, MF	Third-Party ETF, Institutional MF	Supplemental Fee				Custom GPS Select	Supplemental Fee		Custom	
AssetMark Personal Values ²		0.05%		Dorsey Wright, Savos US Risk Controlled				0.10%	William Blair		0.05%	
AlphaSimplex, BlackRock (MAI, Opportunistic Alts, RFI), DoubleLine, First Trust Alternatives, JPMorgan Global Flexible, Morningstar (ETF, ESG, Fixed Income Allocation), State Street			0.10%	Savos GMS, Savos PMP				0.20%				
First Trust Top Themes, VanEck Thematic Disruption, AssetMark AssetBuilder ²		0.15%	0.15%	Julex, WestEnd Advisors				0.30%				
New Frontier			0.20%	Beaumont				0.40%				
Dorsey Wright			0.25%									
Julex, WestEnd Advisors			0.50%									
Beaumont			0.60%									

Separately Managed Accounts (SMAs)		Individually Managed Accounts—Fixed Income ⁸			Savos				Administrative Accts/Individual Third-Party MFs	
	SMAs	Third-Party Laddered Fixed Income ³	Proprietary Laddered Fixed Income ^{3,5}	Active Fixed Income ³	Preservation	GMS/PMP	US Risk Controlled	Personal Portfolios	General Securities ³ or Custodial Sweep ⁴	Individual MFs
<\$250K	0.70%	0.27%	0.20%	0.30%	0.75%	1.00%	0.90%	0.75%	0.00%	0.25%
\$250K-\$500K	0.70%	0.27%	0.20%	0.30%	0.50%	0.80%	0.75%	0.75%	0.00%	0.15%
\$500K-\$1M	0.67%	0.27%	0.20%	0.25%	0.50%	0.75%	0.70%	0.75%	0.00%	0.10%
\$1M-\$2M	0.64%	0.22%	0.15%	0.20%	0.45%	0.70%	0.65%	0.70%	0.00%	0.10%
\$2M-\$3M	0.60%	0.22%	0.15%	0.20%	0.45%	0.70%	0.65%	0.70%	0.00%	0.10%
\$3M-\$5M	0.55%	0.22%	0.15%	0.20%	0.40%	0.70%	0.65%	0.70%	0.00%	0.10%
\$5M+	0.50%	0.22%	0.15%	0.20%	0.30%	0.60%	0.55%	0.60%	0.00%	0.10%
Minimum	\$50K-\$100K	\$125K-\$250K	\$25,000	\$25K-\$250K	\$25,000	\$25,000	\$25,000	\$150,000	\$10,000	\$10,000
Supplemental Fee		Supplemental Manager Fee		Active Fixed Income ³	The fees above are tiered. The first dollar under management receives the highest fee and assets over each breakpoint receive reduced fees as listed. Advisor Managed Portfolios (available under the Advisor Model only): Flat Fee: 0.25% - 0.29% and a \$10,000 account minimum Tax Management Services: Flat fee: 0.10% and a \$100 annual minimum fee					
AllianceBernstein, BlackRock, Brown Advisory, Capital Group, Federated Hermes, Fiera, Franklin Templeton, Hartford, JPMorgan, Logan, Neuberger Berman, Principal Edge, VanEck		Capital Group Intermediate Muni, Clark Capital (Tax and Tax-Free), Nuveen		0.20%						
Acadian, Principal										

INVESTMENT FIRMS BY CATEGORY

Strategies			Guided Portfolios	Individually Managed Accounts ⁸	Separately Managed Accounts (SMAs)	Individually Managed Accounts—Fixed Income ⁸			Individual Mutual Funds ⁹
GuideMark ^{1,6}	Proprietary ETF, MF ⁵	Third-Party ETF, Institutional MF ²	Custom GPS Select	Custom		Third-Party Laddered Fixed Income ³	Proprietary Laddered Fixed Income ^{3,5}	Active Fixed Income ³	
New Frontier ⁷ , Global GuideMark [®] Market Blend ⁷ , US GuideMark [®] Market Blend ⁷ , Individual GuidePath [®] Funds, GuideMark [®] Funds	AssetMark AssetBuilder ² , AssetMark Income Builder, AssetMark MarketDimensions Portfolios, AssetMark OBS DFA/EFS Portfolios, AssetMark Personal Values ² , AssetMark WealthBuilder SM , Market Blend ETF Portfolios	American Funds, AlphaSimplex, BlackRock (MAI, Opportunistic Alts, RFI, TA ESG, TA Multi-Manager w/ Alts), Beaumont, Clark FITR, Dorsey Wright, DoubleLine, First Trust Alternatives, First Trust Low Duration Fixed Income, First Trust Strategic Risk Core, First Trust Top Themes, First Trust Vest Laddered US Equity Buffer ETF Model, Franklin Templeton, JPMorgan (Absolute Return, Global Flexible, Global Standard, MAI), Julex, Kensington Managed Income, Morningstar (Active/Passive, ETF, ESG, Fixed Income Allocation), New Frontier, Nuveen ESG, PIMCO, State Street, VanEck, WestEnd Advisors	All strategists (plus Clark Fixed Income Total Return and Savos UMA strategies) in the Strategies table are available for Custom GPS Select	AssetMark Custom High Net Worth, Clark Capital Personalized UMA, William Blair	Acadian, AllianceBernstein, BlackRock, Brown Advisory, Capital Group, Federated Hermes, Fiera Capital, Franklin Templeton, Hartford, JPMorgan, Logan, Morningstar, Neuberger Berman, Principal, Principal Edge, VanEck, William Blair	Parametric	Savos Bond Ladders	Capital Group Intermediate Muni, Clark Capital Taxable and Tax-Free Fixed Income, Nuveen, Savos Active Fixed Income, Savos ETF Ladders	DoubleLine Shiller Enhanced CAPE, Neuberger Berman PutWrite, PIMCO TRENDS Managed Futures, Stone Ridge Diversified Alternatives

1. Mutual Funds used within these strategies are primarily comprised of NTF (No Transaction Fee) Funds including A share and retail share classes.

2. Annual Minimum Platform Fee: \$350 (This fee is waived on American Funds and Multiple Strategy Accounts.)

3. Transaction-based fees, including trade away fees, may be applicable to the account. These fees are typically \$20 per trade.

4. Custodial sweep or money market fund selected by AssetMark

5. Proprietary solution types refer to those offered by AssetMark. AssetMark OBS models available to certain advisors.

6. AssetMark is the investment adviser to the GuideMark[®] Funds.

7. This strategy contains GuideMark[®] mutual funds.

8. Custom and Fixed Income = Individually Managed Account

9. Other Individual Mutual Funds are available, including BlackRock T-Fund and JPMorgan 100% Treasury, AssetMark waives the Platform Fee in some instances.

Multiple Strategy Account (MSA): The fees charged for an MSA account are based on the above single-strategy fee schedule for each strategist selected and weighted based on the allocation to each sleeve.

Proprietary Mutual Fund Solutions: Refer to Exhibit C for important conflicts of interest disclosures on strategies that use AssetMark's proprietary mutual funds.

For the most current version of this document, please go to www.assetmark.com/info/disclosure

For complete information about account minimums, fees, and expenses for the various investment solutions, refer to the Disclosure Brochure. To receive a copy, please contact your financial advisor.

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