AS OF OCTOBER 2023

Fees & Investment Minimums

ASSETMARK

Strategies

	GuideMark[1,6]	Proprietary ETF, MF[5]	Third-Party ETF, Institutional MF[2]
<$250K	0.25%	0.45%	0.50%
$250K-$500K	0.15%	0.40%	0.35%
$500K-$1M	0.10%	0.35%	0.30%
$1M-$2M	0.10%	0.30%	0.28%
$2M-$3M	0.10%	0.20%	0.25%
$3M-$5M	0.10%	0.20%	0.20%
$5M+	0.10%	0.20%	0.10%
Minimum	**$10,000**	**$25,000**	**$25,000**

Supplemental Fee	
AlphaSimplex, Aris AssetBuilder, BlackRock - MAI, Opportunistic Alts, RFI, DoubleLine, First Trust Alternatives, JP Morgan Global Flexible, State Street	0.10%
First Trust Top Themes, VanEck Thematic Disruption	0.15%
New Frontier	0.20%
Dorsey Wright	0.25%
Julex, WestEnd Advisors	0.50%
Beaumont	0.60%

Guided Portfolios

Guided Income Solutions	GPS Fund Strategies	Clark FITR	GPS Select	Custom GPS Select
0%	0.25%	0.55%	0.65%	0.65%
0%	0.15%	0.55%	0.65%	0.65%
0%	0.10%	0.50%	0.60%	0.60%
0%	0.10%	0.45%	0.55%	0.55%
0%	0.10%	0.35%	0.45%	0.45%
0%	0.10%	0.30%	0.40%	0.40%
0%	0.10%	0.25%	0.35%	0.35%
$50,000	**$10,000**	**$250,000**	**$50K-$100K**	**$250,000**

Supplemental Fee	Custom GPS Select
Dorsey Wright, Savos US Risk Controlled	0.10%
Savos GMS, Savos PMP	0.20%
Julex, WestEnd Advisors	0.30%
Beaumont	0.40%

Custom Individually Managed Accounts[8]

Parametric Custom Portfolios[3]	CIBC Custom Portfolios	Custom[8]	City National Rochdale
0.65%	1.00%	1.05%	1.10%
0.65%	1.00%	1.05%	1.10%
0.65%	1.00%	0.99%	1.04%
0.60%	0.95%	0.94%	0.99%
0.60%	0.95%	0.90%	0.99%
0.60%	0.90%	0.85%	0.95%
0.50%	0.80%	0.75%	0.90%
$250K-$750K	**$1M**	**$500K-$1M**	**$1M**

Supplemental Fee	Custom
William Blair	0.05%

Separately Managed Accounts (SMAs)

	SMAs
<$250K	0.70%
$250K-$500K	0.70%
$500K-$1M	0.67%
$1M-$2M	0.64%
$2M-$3M	0.60%
$3M-$5M	0.55%
$5M+	0.50%
Minimum	**$50K-$100K**

Supplemental Fee	
AllianceBernstein, BlackRock, Brown Advisory, Capital Group, Edge, Federated Hermes, Fiera, Franklin Templeton, Hartford, JP Morgan, Logan, Neuberger Berman	0.05%
Acadian, Principal	0.10%

Individually Managed Accounts—Fixed Income[8]

Third-Party Laddered Fixed Income[3]	Proprietary Laddered Fixed Income[3,5]	Active Fixed Income[3]
0.27%	0.20%	0.30%
0.27%	0.20%	0.30%
0.27%	0.20%	0.25%
0.22%	0.15%	0.20%
0.22%	0.15%	0.20%
0.22%	0.15%	0.20%
0.22%	0.15%	0.20%
$125K-$250K	**$25,000**	**$25K-$250K**

Supplemental Manager Fee	Active Fixed Income[3]
Clark Capital (Tax and Tax-Free)	0.20%
Nuveen	0.35%

Savos

Preservation	GMS/PMP	US Risk Controlled	Personal Portfolios
0.75%	1.00%	0.90%	0.75%
0.50%	0.80%	0.75%	0.75%
0.50%	0.75%	0.70%	0.75%
0.45%	0.70%	0.65%	0.70%
0.45%	0.70%	0.65%	0.70%
0.40%	0.70%	0.65%	0.70%
0.30%	0.60%	0.55%	0.60%
$25,000	**$25,000**	**$25,000**	**$150,000**

Administrative Accts/Individual Third-Party MFs

General Securities[3] or Custodial Sweep[4]	Individual MFs
0.00%	0.25%
0.00%	0.15%
0.00%	0.10%
0.00%	0.10%
0.00%	0.10%
0.00%	0.10%
0.00%	0.10%
$10,000	**$10,000**

The fees above are tiered. The first dollar under management receives the highest fee and assets over each breakpoint receive reduced fees as listed.

Advisor Managed Portfolios (available under the Advisor Model only):
Flat Fee: 0.25% - 0.29% and a $10,000 account minimum

For financial advisor use with advisory clients.

Please see next page for important disclosures.

INVESTMENT FIRMS BY CATEGORY

Strategies			Guided Portfolios	Individually Managed Accounts[8]	Separately Managed Accounts (SMAs)	Individually Managed Accounts—Fixed Income[8]			Individual Mutual Funds[9]
GuideMark[1,6]	Proprietary ETF, MF[5]	Third-Party ETF, Institutional MF[2]	Custom GPS Select	Custom		Third-Party Laddered Fixed Income[3]	Proprietary Laddered Fixed Income[3,5]	Active Fixed Income[3]	
New Frontier[7], Global GuideMark® Market Blend[7], US GuideMark® Market Blend[7], Individual GuidePath® Funds, GuideMark® Funds	Aris Income Builder, AssetMark MarketDimensions Portfolios, AssetMark OBS DFA/EFS Portfolios, AssetMark WealthBuilder℠, Market Blend ETF Portfolios	American Funds, AlphaSimplex, Aris AssetBuilder, Aris Personal Values, BlackRock - MAI, Opportunistic Alts, RFI, TA ESG, TA Multi-Manager w/ Alts, Beaumont, Dorsey Wright, DoubleLine, First Trust Alternatives, First Trust Low Duration Fixed Income, First Trust Strategic Risk Core, First Trust Top Themes, JP Morgan Absolute Return, JP Morgan Global Flexible, JP Morgan Global Standard, JP Morgan MAI, Julex, Kensington Managed Income, New Frontier, Nuveen ESG, PIMCO, State Street, VanEck, WestEnd Advisors	All strategists (plus Clark Fixed Income Total Return and Savos UMA strategies) in the Strategies table are available for Custom GPS Select	Aris Custom High Net Worth, Clark Capital Personalized UMA, William Blair	Acadian, AllianceBernstein, BlackRock, Brown Advisory, Capital Group, Edge, Federated Hermes, Fiera Capital, Franklin Templeton, Hartford, JP Morgan, Logan, Neuberger Berman, Principal, William Blair	Parametric	Savos	Clark Capital Taxable Fixed Income, Nuveen, Savos	DoubleLine Shiller Enhanced CAPE, Neuberger Berman PutWrite, PIMCO TRENDS Managed Futures, Stone Ridge Diversified Alternatives

1. Mutual Funds used within these strategies are primarily comprised of NTF (No Transaction Fee) Funds including A share and retail share classes.
2. Annual Minimum Platform Fee: $350 (This fee is waived on American Funds and Multiple Strategy Accounts.)
3. Transaction-based fees, including trade away fees, may be applicable to the account. These fees are typically $20 per trade.
4. Custodial sweep or money market fund selected by AssetMark
5. Proprietary solution types refer to those offered by AssetMark. AssetMark OBS models available to certain advisors.
6. AssetMark is the investment adviser to the GuideMark® Funds.
7. This strategy contains GuideMark® mutual funds.
8. Custom and Fixed Income = Individually Managed Account
9. Other Individual Mutual Funds are available, and AssetMark waives the Platform Fee in some instances.

Multiple Strategy Account (MSA): The fees charged for an MSA account are based on the above single-strategy fee schedule for each strategist selected and weighted based on the allocation to each sleeve.
Proprietary Mutual Fund Solutions: Refer to Exhibit C for important conflicts of interest disclosures on strategies that use AssetMark's proprietary mutual funds.

For the most current version of this document, please go to www.assetmark.com/info/disclosure

For complete information about account minimums, fees, and expenses for the various investment solutions, refer to the Disclosure Brochure. To receive a copy, please contact your financial advisor.

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